



Governance,
Risk Mgt & Compliance
Services
(See below)

- Cybersecurity
- SOC
- Internal Audit

- Banks
- Securities and Investment Firms
- Broker Dealers
- Mortgage Finance Companies
- Insurance Companies
- Insurance Third Party Administrators
- Payment Processors
- Credit Unions
- Law Firms

- Reduce enterprise risk
- Protect "Crown Jewels"
- Reduce Third Party Risk
- Avoid fines and sanctions
- Achieve Regulator Compliance
- Enhance Customer Trust
- Protect Shareholder Value

Governance,
Risk Mgt & Compliance
Services

- AML & Sanctions Svcs
 - AML Model Risk Mgt & Validation
- BSA/AML & OFAC IA
- Consumer Compliance Management
 - Compliance Risk Assessment
 - Compliance Program Assessment
 - Compliance Monitoring
 - Compliance Policies & Procedures
- HMDA Compliance
- Credit Risk Management Services
 - Loan review
 - ALLL Methodologies and Adequacy
 - Credit Policies and Procedures
 - Credit Rating Methodology
 - Underwriting Criteria and Approval Processes
 - Credit Training
 - Loan Administration Processes and Reporting
 - Problem Loan Management
- Portfolio Due Diligence
- Limited or Full Collateral Audits
- Internal Audit and Compliance
- Stress Testing and Migration Analysis
- Contract Underwriting for Commercial Lending
- Business Plan & Cash Flow Monitoring
- Business & Asset Valuation
- Litigation Support and Dispute Resolution
- NYDFS Part 504 Assessment
- Transaction Review
- SOC Reports