



Products & Services  
 Governance,  
 Risk Mgt & Compliance  
 Services  
 (See below)

- Cybersecurity
- SOC
- Internal Audit

Target  
 Markets

Applications

Prospects

Market Segments

Needs

- Banks
- Securities and Investment Firms
- Broker Dealers
- Mortgage Finance Companies
- Insurance Companies
- Insurance Third Party Administrators
- Payment Processors
- Credit Unions
- Law Firms

- Reduce enterprise risk
- Protect "Crown Jewels"
- Reduce Third Party Risk
- Avoid fines and sanctions
- Achieve Regulator Compliance
- Enhance Customer Trust
- Protect Shareholder Value

Governance,  
 Risk Mgt & Compliance  
 Services

- AML & Sanctions Svcs
  - AML Model Risk Mgt & Validation
- BSA/AML & OFAC IA
- Consumer Compliance Management
  - Compliance Risk Assessment
  - Compliance Program Assessment
  - Compliance Monitoring
  - Compliance Policies & Procedures
  - HMDA Compliance
- Credit Risk Management Services
  - Loan review
  - ALLL Methodologies and Adequacy
  - Credit Policies and Procedures
  - Credit Rating Methodology
  - Underwriting Criteria and Approval Processes
  - Credit Training
  - Loan Administration Processes and Reporting
  - Problem Loan Management
- Portfolio Due Diligence
- Limited or Full Collateral Audits
- Internal Audit and Compliance
- Stress Testing and Migration Analysis
- Contract Underwriting for Commercial Lending
- Business Plan & Cash Flow Monitoring
- Business & Asset Valuation
- Litigation Support and Dispute Resolution
- NYDFS Part 504 Assessment
- Transaction Review
- SOC Reports